

#5 / Reg for  
Refund

Practitioner's Docket No. MI22-1670

PATENT 09-04-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Zhongze Wang

Application No.: 09/881,407 Group No.: 2811  
Filed: June 13, 2001 Examiner: Unknown  
For: "Methods of Forming Transistor Devices"Commissioner for Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

US  
PATENT  
AND  
TRADEMARK  
OFFICE  
JUL 16 2002  
JUL 16 2002  
JUL 16 2002REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

## I. RETURN OF REFUND REQUEST

This is a request for a refund, with respect to the debit to Deposit Account 23-0925, shown on the statement dated July 15, 2002 for the above-identified application.

- A copy of the monthly statement, in which the error referred to occurs, accompanies this request.
- A copy of the Filing Receipt accompanies this request.
- A copy of the Transmittal Form accompanies this request (dated 7/5/02).
- A copy of the Supplemental Information Disclosure Statement filed 7/5/02.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

Date: July 16, 2002

## FACSIMILE

- ☒ transmitted by facsimile to the Patent and Trademark Office, 703-308-6778



Signature

Rhonda G. Rambo

(type or print name of person certifying)

(Request for Debit (Improper Credit of Deposit Account)—page 1 of 2)

**II. FEES CHARGED FOR WHICH REFUND IS REQUESTED**

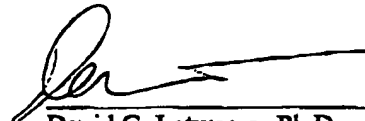
	<b>AMOUNT OF REFUND REQUESTED</b>
Supplemental Information Disclosure Statement	\$180.00
<b>TOTAL REFUND REQUESTED</b>	<b>\$180.00</b>

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

The Supplemental Information Disclosure Statement was filed within three months of the filing date of the application or before the mailing date of the first Office Action, whichever occurs last. We have not received an Office Action from the PTO. Therefore, no fee is believed to be required. Please credit Deposit Account No. 23-0925 with the overpayment of the above fee.

**IV. MANNER OF REFUND**

Please Credit Account No. 23-0925.

Date: 3/15/02

David G. Latwesen, Ph.D.  
Reg. No. 38,533  
Wells St. John P.S.  
601 West First Ave., Suite 1300  
Spokane, WA 99201-3828  
Tel. No.: (509) 624-4276  
Customer No.: 021567

(Request for Debit (Improper Credit of Deposit Account)—page 2 of 2)

UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Home Page

USPTO  
Home  
PageFinance  
Home  
PageDeposit Account Statement

Requested Statement Month: July 2002  
Deposit Account Number: 230925  
Name: WELLS ST JOHN & ROBERTS GREGORY & MATKIN PS  
Attention: ATTN BARBARA SCHURRA  
Address: 601 WEST FIRST AVENUE SUITE 1300  
City: SPOKANE  
State: WA  
Zip: 99201-3817

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	3	PCT/US02/16616	ME37-008	151	\$250.00	\$15,388.00
07/02	1	09311911	VL5-050	102	\$168.00	\$15,220.00
07/02	286	1984035		372	\$100.00	\$15,120.00
07/02	287	1984035		373	\$200.00	\$14,920.00
07/02	569	76121414		364	\$150.00	\$14,770.00
07/03	214	76240638		363	\$100.00	\$14,670.00
07/03	233	2019442		372	\$100.00	\$14,570.00
07/03	234	2019442		373	\$200.00	\$14,370.00
07/03	259	2047235		372	\$100.00	\$14,270.00
07/03	260	2047235		373	\$200.00	\$14,070.00
07/03	274	2046073		372	\$100.00	\$13,970.00
07/03	275	2046073		373	\$200.00	\$13,770.00
07/05	47	SUBSCRIPTION		561	\$3.00	\$13,767.00
07/05	220	10050347	MI22-1897	126	\$180.00	\$13,587.00
07/09	286	PCT/US02/16616		704	-\$250.00	\$13,837.00
07/10	5	09749041	MI22-1596	148	\$110.00	\$13,727.00
07/11	1	09687600	M122-15550	103	\$36.00	\$13,691.00
07/11	520	76277376		363	\$100.00	\$13,591.00
07/11	539	2048782		372	\$100.00	\$13,491.00
07/11	540	2048782		373	\$200.00	\$13,291.00
07/11	579	2074624		372	\$100.00	\$13,191.00
07/12	1	09428125	MI22-1299	126	\$180.00	\$13,011.00
07/12	12	09659869	30-5061 DIV2	179	-\$30.00	\$13,041.00
07/12	155	09322398	GO25-002	242	\$640.00	\$12,401.00
07/12	156	09322398	GO25-002	561	\$9.00	\$12,392.00
07/12	176	78143152		361	\$650.00	\$11,742.00
07/15	17	09881407	MI22-1670	126	\$180.00	\$11,562.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	CLASS ART UNIT	FILE FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/881,407	06/13/2001	2811	1284	MI22-1670	6	43	5

CONFIRMATION NO. 8493

021587  
WELLS ST JOHN ROBERTS GREGORY AND MATKIN  
SUITE 1300  
601 W FIRST AVENUE  
SPOKANE, WA 992013828

## FILING RECEIPT



\*0000000006405049\*

Date Mailed: 08/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Zhongze Wang, Boise, ID;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 08/09/2001

Projected Publication Date: 12/18/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Methods of forming transistor devices

## Preliminary Class

257

Data entry by : HAILE, MENTUAB

Team : OIPE

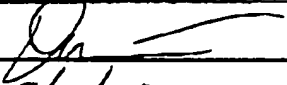
Date: 08/09/2001

Please type a plus sign (+) inside this box → ☐PTO/SB/21 (6-98)  
Approved for use through 08/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/881,407
	Filing Date	June 13, 2001
	First Named Inventor	Zhongze Wang
	Group Art Unit	2811
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number MI22-1670	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard PTO-1449 w/copies of cited references
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	NO FEE REQUIRED
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David G. Larwesen, Ph.D.; Wells St. John P.S.
Signature	
Date	7/5/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>	
Typed or printed name	EV077332579
Signature	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 09/881,407  
Filing Date ..... June 13, 2001  
Inventor ..... Zhongze Wang  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 2811  
Examiner ..... Unknown  
Attorney's Docket No. .... MI22-1670  
Title: Methods of Forming Transistor Devices

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
References - See Attached Form PTO-1449

The Examiner's attention is directed to the references which are listed on the attached Form PTO-1449, copies of which are attached. No admission is made regarding whether all the submitted references are prior art.

Citation of the referenced art is respectfully requested.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of the application or before the mailing date of a first Office Action, whichever occurs last. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Supplemental Information Disclosure Statement, please charge the fee specified under 37 C.F.R. § 1.17(p) to Deposit Account No. 23-0925.

Respectfully submitted,

Dated: 7/5/02

By: 

David G. Latwesen, Ph.D.  
Reg. No. 38,533